

# Minimizer of an isoperimetric ratio on a metric on $\mathbb{R}^2$ with finite total area

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## Abstract

Let  $g = (g_{ij})$  be a complete Riemannian metric on  $\mathbb{R}^2$  with finite total area and  $I_g = \inf_{\gamma} I(\gamma)$  with  $I(\gamma) = L(\gamma)(A_{in}(\gamma)^{-1} + A_{out}(\gamma)^{-1})$  where  $\gamma$  is any closed simple curve in  $\mathbb{R}^2$ ,  $L(\gamma)$  is the length of  $\gamma$ ,  $A_{in}(\gamma)$  and  $A_{out}(\gamma)$  are the areas of the regions inside and outside  $\gamma$  respectively, with respect to the metric  $g$ . Under some mild growth conditions on  $g$  we prove the existence of a minimizer for  $I_g$ . As a corollary we obtain a new proof for the existence of a minimizer for  $I_{g(t)}$  for any  $0 < t < T$  when the metric  $g(t) = g_{ij}(\cdot, t) = u\delta_{ij}$  is the maximal solution of the Ricci flow equation  $\partial g_{ij}/\partial t = -2R_{ij}$  on  $\mathbb{R}^2 \times (0, T)$  [DH] where  $T > 0$  is the extinction time of the solution.

Key words: existence of minimizer, isoperimetric ratio, complete Riemannian metric on  $\mathbb{R}^2$ , finite total area

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Isoperimetric inequalities arises in many problems on analysis and geometry such as the study of partial differential equations and Sobolev inequality [B], [SY], [T1]. Isoperimetric inequalities are also used by N.S. Trudinger [T2] in the study of sharp estimates for the Hessian equations and Hessian integrals. In [G], [H1], M. Gage and R. Hamilton studied isoperimetric inequalities arising from the curve shortening flow. In [DH], [DHS] and [H2], P. Daskalopoulos, R. Hamilton, N. Sesum, studied isoperimetric inequalities in Ricci flow and used it to study the behavior of solutions of Ricci flow which is an important tool in the classification of manifolds [MT], [P1], [P2], [Z].

Let  $g = (g_{ij})$  be a complete Riemmanian metric on  $\mathbb{R}^2$  with finite total area  $A = \int_{\mathbb{R}^2} dV_g$  satisfying

$$\lambda_1(|x|)\delta_{ij} \leq g_{ij}(x) \leq \lambda_2(|x|)\delta_{ij} \quad \forall |x| \geq r_0 \quad (1)$$

for some constant  $r_0 > 1$  and positive monotone decreasing functions  $\lambda_1(r)$ ,  $\lambda_2(r)$ , on  $[r_0, \infty)$  that satisfy

$$\int_r^{c_0 r} \sqrt{\lambda_1(\rho)} d\rho \geq \pi r \sqrt{\lambda_2(r)} \quad \forall r \geq r_0, \quad (2)$$

$$r \sqrt{\lambda_1(c_0 r)} \geq b_1 \int_r^\infty \rho \lambda_2(\rho) d\rho \quad \forall r \geq r_0, \quad (3)$$

$$\int_r^{r^2} \sqrt{\lambda_1(\rho)} d\rho \geq b_2 \quad \forall r \geq r_0, \quad (4)$$

and

$$\lambda_1(c_0 r) \geq \delta \lambda_2(r) \quad \forall r \geq r_0 \quad (5)$$

for some constants  $c_0 > 1$ ,  $b_1 > 0$ ,  $b_2 > 0$ ,  $\delta > 0$ , where  $|x|$  is the distance of  $x$  from the origin with respect to the Euclidean metric. For any closed simple curve  $\gamma$  in  $\mathbb{R}^2$ , let (cf. [DH])

$$I(\gamma) = L(\gamma) \left( \frac{1}{A_{in}(\gamma)} + \frac{1}{A_{out}(\gamma)} \right) \quad (6)$$

where  $L(\gamma)$  is the length of the curve  $\gamma$ ,  $A_{in}(\gamma)$  and  $A_{out}(\gamma)$  are the areas of the regions inside and outside  $\gamma$  respectively, with respect to the metric  $g$ . Let

$$I = I_g = \inf_{\gamma} I(\gamma) \quad (7)$$

where the infimum is over all closed simple curves  $\gamma$  in  $\mathbb{R}^2$ . In this paper we will prove that there exists a constant  $b_0 > 0$  such that if the isoperimetric ratio  $I_g < b_0$ , then there exists a closed simple curve  $\gamma$  satisfying  $I_g = I(\gamma)$ . As a corollary we obtain a new proof for the existence of a minimizer for the isoperimetric ratio  $I_{g(t)}$  for any  $0 < t < T$  when the metric  $g(t) = g_{ij}(\cdot, t) = u\delta_{ij}$  is the maximal solution of the Ricci flow [DH]

$$\frac{\partial}{\partial t} g_{ij} = -2R_{ij} \quad \text{on } \mathbb{R}^2 \times (0, T)$$

where  $T > 0$  is the extinction time of the solution and  $u$  is a solution of

$$u_t = \Delta \log u \quad \text{on } \mathbb{R}^2 \times (0, T). \quad (8)$$

We will use an adaptation of the technique of [H1] and [H2] to prove the result. In [H1], [H2], since the domain under consideration is either the sphere  $S^2$  ([H2]) or bounded domain in  $\mathbb{R}^2$  ([H1]), the minimizing sequences for the infimum of the

isoperimetric ratios considered in [H1], [H2], stay in a compact set. On the other hand since the isoperimetric ratio (6) is for any curve  $\gamma$  in  $\mathbb{R}^2$ , the minimizing sequence of curves for the infimum of the isoperimetric ratio (7) may not stay in a compact subset of  $\mathbb{R}^2$  and may not have a limit at all. So we will need to show that there exists a constant such that this is impossible when  $I_g$  is less than this constant. After this we will use the curve shortening flow technique of [H2] to modify the minimizing sequence of curves and show that they will converge to a minimizer of (7).

For any  $x_0 \in \mathbb{R}^2$  and  $r > 0$  let  $B_r(x_0) = \{x \in \mathbb{R}^2 : |x - x_0| < r\}$  and  $B_r = B_r(0)$ . The main results of the paper are as follows.

**Theorem 1.** *Suppose  $g$  satisfies (1) for some constant  $r_0 > 1$  where  $\lambda_1(r)$ ,  $\lambda_2(r)$ , are positive monotone decreasing functions on  $[r_0, \infty)$  that satisfy (2), (3), (4) and (5) for some constants  $c_0 > 1$ ,  $b_1 > 0$ ,  $b_2 > 0$  and  $\delta > 0$ . Then there exists a constant  $b_0 > 0$  depending on  $b_1$ ,  $b_2$  and  $A$  such that the following holds. If*

$$I_g < b_0, \quad (9)$$

*then there exists a closed simple curve  $\gamma$  in  $\mathbb{R}^2$  such that  $I_g = I(\gamma)$ . Hence  $I_g > 0$ .*

**Proposition 2.** *Suppose  $g = (g_{ij})$  satisfies*

$$\frac{C_1}{r^2(\log r)^2} \delta_{ij} \leq g_{ij} \leq \frac{C_2}{r^2(\log r)^2} \delta_{ij} \quad \forall r \geq r_1$$

*for some constants  $C_2 \geq C_1 > 0$ ,  $r_1 > 1$ . Then there exist constants  $c_0 > 1$ ,  $\delta > 0$ ,  $b_1 > 0$ ,  $b_2 > 0$ , and  $r_0 \geq r_1$  such that (2), (3), (4) and (5) hold.*

**Corollary 3.** *Let  $g_{ij}(x, t) = u(x, t) \delta_{ij}$  where  $u$  is the maximal solution of (8) with initial value  $0 \leq u_0 \in L^p(\mathbb{R}^2) \cap L^1(\mathbb{R}^2)$ ,  $u_0 \not\equiv 0$ , for some  $p > 1$  satisfying*

$$u_0(x) \leq \frac{C}{|x|^2(\log |x|)^2} \quad \forall |x| > 1 \quad (10)$$

*given by [DP] and [Hu] where  $T = (1/4\pi) \int_{\mathbb{R}^2} u_0 dx$ . Then for any  $0 < t_1 < T$  there exists a constant  $b_0 > 0$  such that the following holds. For any  $t_1 \leq t < T$ , if  $I_{g(t)} < b_0$ , then there exists a closed simple curve  $\gamma$  that satisfies  $I_{g(t)} = I(\gamma)$ .*

*Proof of Proposition 2:* Let  $\lambda_i(r) = C_i(r \log r)^{-2}$ ,  $i = 1, 2$ ,

$$c_0 = 2e^{\pi\sqrt{C_2/C_1}}, \quad (11)$$

and  $\delta = C_1/(2c_0^2 C_2)$ . We choose  $r_2 \geq r_1$  such that

$$\frac{\log r}{\log(c_0 r)} \geq \frac{1}{\sqrt{2}} \quad \forall r \geq r_2. \quad (12)$$

Then by (11) and (12),

$$\frac{\lambda_1(c_0 r)}{\lambda_2(r)} = \frac{C_1}{c_0^2 C_2} \left( \frac{\log r}{\log(c_0 r)} \right)^2 \geq \frac{C_1}{2c_0^2 C_2} = \delta \quad \forall r \geq r_2. \quad (13)$$

We next note that

$$\lim_{r \rightarrow \infty} \left( (\log r) \log \left( \frac{\log(c_0 r)}{\log r} \right) \right) = \lim_{z \rightarrow 0} \frac{\log((\log c_0)z + 1)}{z} = \log c_0. \quad (14)$$

By (11) and (14) there exists  $r_0 \geq r_2$  such that

$$(\log r) \log \left( \frac{\log(c_0 r)}{\log r} \right) > \pi \sqrt{C_2/C_1} \quad \forall r \geq r_0. \quad (15)$$

By (13) and (15), we get (2) and (5). By (12) and a direct computation (3) and (4) holds with  $b_1 = \sqrt{C_1}/(\sqrt{2}c_0 C_2)$ ,  $b_2 = \sqrt{C_1} \log 2$ , and the proposition follows.  $\square$

*Proof of Corollary 3:* By (10) and the results of [ERV] there exists a constant  $C_2 > 0$  such that

$$u(x, t) \leq \frac{C_2}{|x|^2 (\log |x|)^2} \quad \forall |x| > 1, 0 < t < T \quad (16)$$

and for any  $t_0 \in (0, T)$  there exists a constant  $r_1 > 1$  such that

$$u(x, t) \geq \frac{(3/2)t}{|x|^2 (\log |x|)^2} \quad \forall |x| \geq r_1, 0 < t \leq t_0. \quad (17)$$

By (16), (17), Theorem 1 and Proposition 2, the corollary follows.  $\square$

We will now assume that  $g$  is a metric on  $\mathbb{R}^2$  with finite total area that satisfies (1), (2), (3), (4) and (5) for some constants  $r_0 > 1$ ,  $c_0 > 1$ ,  $b_1 > 0$ ,  $b_2 > 0$ ,  $\delta > 0$  where  $\lambda_1(r)$ ,  $\lambda_2(r)$ , are positive monotone decreasing functions on  $[r_0, \infty)$  for the rest of the paper. Let  $b_0 = \min(b_1, 4b_2/A)$ . Suppose (9) holds. Let  $\{\gamma_k\}_{k=1}^\infty$  be a sequence of closed simple curves on  $\mathbb{R}^2$  such that

$$I(\gamma_k) \rightarrow I \quad \text{as } k \rightarrow \infty \quad \text{and} \quad I(\gamma_k) < b_0 \quad \forall k \in \mathbb{Z}^+. \quad (18)$$

We will show that the sequence  $\{\gamma_k\}_{k=1}^\infty$  is contained in some compact set of  $\mathbb{R}^2$ . Let  $\Omega_k$  be the region inside  $\gamma_k$  and  $r_k = \min_{x \in \gamma_k} |x|$ . Let  $L_e(\gamma_k)$  be the length of  $\gamma_k$  and  $|\Omega_k|$  be the area of  $\Omega_k$  with respect to the Euclidean metric. We choose  $r'_0 > r_0$  such that

$$\text{Vol}_g(\mathbb{R}^2 \setminus B_{r'_0}) \leq \frac{A}{4} \quad \forall k \in \mathbb{Z}^+. \quad (19)$$

**Lemma 4.** *The sequence  $r_k$  is uniformly bounded.*

*Proof:* Suppose the lemma is not true. Then there exists a subsequence of  $r_k$  which we may assume without loss of generality to be the sequence itself such that

$$r_k > r'_0 \quad \forall k \in \mathbb{Z}^+ \quad (20)$$

and  $r_k \rightarrow \infty$  as  $k \rightarrow \infty$ . Let  $\tilde{\gamma}_k = \partial B_{r_k}$ . We choose a point  $x_k \in \gamma_k \cap \partial B_{r_k}$  and let  $\gamma_k : [0, 2\pi] \rightarrow \mathbb{R}^2$  be a parametrization of the curve  $\gamma_k$  such that  $x_k = \gamma_k(0) = \gamma_k(2\pi)$ . Since for any  $k \in \mathbb{Z}^+$  either  $0 \in \Omega_k$  or  $0 \in \mathbb{R}^2 \setminus \Omega_k$  holds, thus either

$$0 \in \Omega_k \quad \text{for infinitely many } k \quad (21)$$

or

$$0 \in \mathbb{R}^2 \setminus \Omega_k \quad \text{for infinitely many } k \quad (22)$$

holds. We need the following result for the proof of the lemma.

**Claim 1:** There exists only finitely many  $k$  such that  $\gamma_k \cap (\mathbb{R}^2 \setminus \overline{B_{c_0 r_k}}) \neq \emptyset$ .

*Proof of Claim 1:* Suppose claim 1 is false. Then there exists infinitely many  $k$  such that  $\gamma_k \cap (\mathbb{R}^2 \setminus \overline{B_{c_0 r_k}}) \neq \emptyset$ . Without loss of generality we may assume that

$$\gamma_k \cap (\mathbb{R}^2 \setminus \overline{B_{c_0 r_k}}) \neq \emptyset \quad \forall k \in \mathbb{Z}^+. \quad (23)$$

By (23) there exists  $\phi_0 \in (0, 2\pi)$  such that

$$|\gamma_k(\phi_0)| > c_0 r_k.$$

Hence there exists  $0 < \phi_1 < \phi_0 < \phi_2 < 2\pi$  such that

$$\gamma_k(\phi_1) = \gamma_k(\phi_2) = c_0 r_k$$

and

$$r_k \leq |\gamma_k(\phi)| \leq c_0 r_k \quad \forall \phi \in (0, \phi_1) \cup (\phi_2, 2\pi).$$

Then by (1),

$$\begin{aligned} L(\gamma_k) &= \int_0^{2\pi} (g_{ij} \dot{\gamma}_k^i \dot{\gamma}_k^j)^{\frac{1}{2}} d\phi \\ &\geq \left( \int_0^{\phi_1} + \int_{\phi_2}^{2\pi} \right) (g_{ij} \dot{\gamma}_k^i \dot{\gamma}_k^j)^{\frac{1}{2}} d\phi \\ &\geq \left( \int_0^{\phi_1} + \int_{\phi_2}^{2\pi} \right) \sqrt{\lambda_1(r)} \sqrt{\left( \frac{dr}{d\phi} \right)^2 + r^2 \left( \frac{d\theta}{d\phi} \right)^2} d\phi \\ &\geq 2 \int_{r_k}^{c_0 r_k} \sqrt{\lambda_1(r)} dr \end{aligned} \quad (24)$$

and

$$2\pi r_k \sqrt{\lambda_1(r_k)} \leq L(\tilde{\gamma}_k) = \int_0^{2\pi} (g_{ij} \tilde{\gamma}_k^i \tilde{\gamma}_k^j)^{\frac{1}{2}} d\phi \leq 2\pi r_k \sqrt{\lambda_2(r_k)}. \quad (25)$$

By (2), (24) and (25),

$$L(\tilde{\gamma}_k) \leq L(\gamma_k). \quad (26)$$

Suppose (21) holds. Without loss of generality we may assume that  $0 \in \Omega_k$  for all  $k \in \mathbb{Z}^+$ . Then  $B_{r_k} \subset \Omega_k$  for all  $k \in \mathbb{Z}^+$ . Hence by (19), (20),

$$A_{out}(\gamma_k) \leq \text{Vol}_g(\mathbb{R}^2 \setminus B_{r_k}) \leq \frac{A}{4} \quad \forall k \in \mathbb{Z}^+ \quad (27)$$

and

$$\frac{3A}{4} \leq \text{Vol}_g(B_{r_k}) \leq A_{in}(\gamma_k) \leq A \quad \forall k \in \mathbb{Z}^+. \quad (28)$$

We will now show that the circle  $\tilde{\gamma}_k = \partial B_{r_k}$  satisfies

$$I(\tilde{\gamma}_k) \leq I(\gamma_k). \quad (29)$$

Let  $\varepsilon = A_{out}(\tilde{\gamma}_k) - A_{out}(\gamma_k)$ . Then  $\varepsilon = A_{in}(\gamma_k) - A_{in}(\tilde{\gamma}_k)$ . Since  $\tilde{\gamma}_k \subset \overline{\Omega}_k$  and the region between  $\gamma_k$  and  $\tilde{\gamma}_k$  is contained in  $\mathbb{R}^2 \setminus B_{r_k}$ , by (27),

$$0 \leq \varepsilon \leq \frac{A}{4}. \quad (30)$$

Hence by (27) and (30),

$$\begin{aligned} \frac{1}{A_{in}(\tilde{\gamma}_k)} + \frac{1}{A_{out}(\tilde{\gamma}_k)} &= \frac{A}{A_{in}(\tilde{\gamma}_k)A_{out}(\tilde{\gamma}_k)} = \frac{A}{(A_{in}(\gamma_k) - \varepsilon)(A_{out}(\gamma_k) + \varepsilon)} \\ &\leq \frac{A}{A_{in}(\gamma_k)A_{out}(\gamma_k)} = \frac{1}{A_{in}(\gamma_k)} + \frac{1}{A_{out}(\gamma_k)}. \end{aligned} \quad (31)$$

By (26) and (31) we get (29). Now by (1),

$$A_{out}(\tilde{\gamma}_k) = \int_{\mathbb{R}^2 \setminus B_{r_k}} \sqrt{\det g_{ij}} \, dx \leq 2\pi \int_{r_k}^{\infty} \rho \lambda_2(\rho) \, d\rho. \quad (32)$$

By (3), (25), (29) and (32),

$$I(\gamma_k) \geq \frac{L(\tilde{\gamma}_k)}{A_{out}(\tilde{\gamma}_k)} + \frac{L(\tilde{\gamma}_k)}{A_{in}(\tilde{\gamma}_k)} \geq b_1. \quad (33)$$

Letting  $k \rightarrow \infty$  in (33),

$$I \geq b_1. \quad (34)$$

This contradicts (9) and the definition of  $b_0$ . Hence (21) does not hold.

Suppose (22) holds. Without loss of generality we may assume that  $0 \in \mathbb{R}^2 \setminus \Omega_k$  for all  $k \in \mathbb{Z}^+$ . Then by (20)  $0 \in \mathbb{R}^2 \setminus \overline{\Omega}_k$  and  $B_{r_k} \subset \mathbb{R}^2 \setminus \overline{\Omega}_k$  for any  $k \in \mathbb{Z}^+$ . By

an argument similar to the proof of (27) and (28) but with the role of  $A_{in}(\gamma_k)$  and  $A_{out}(\gamma_k)$  being interchanged in the proof we get

$$\begin{cases} A_{in}(\gamma_k) \leq \text{Vol}_g(\mathbb{R}^2 \setminus B_{r_k}) \leq \frac{A}{4} & \forall k \in \mathbb{Z}^+ \\ \frac{3A}{4} \leq A_{out}(\gamma_k) \leq A & \forall k \in \mathbb{Z}^+. \end{cases} \quad (35)$$

Similarly by interchanging the role of  $A_{in}(\gamma_k)$  and  $A_{out}(\gamma_k)$  and replacing  $\varepsilon$  by  $\varepsilon' = A_{out}(\tilde{\gamma}_k) - A_{in}(\gamma_k) = A_{out}(\gamma_k) - A_{in}(\tilde{\gamma}_k)$  in the proof of (29)–(33) above, we get that  $0 \leq \varepsilon' \leq A/4$  and (29), (33), still holds. Letting  $k \rightarrow \infty$  in (33), we get (34). This again contradicts (9) and the definition of  $b_0$ . Thus (22) does not hold and claim 1 follows.

We will now continue with the proof of the lemma. By claim 1 there exists  $k_0 \in \mathbb{Z}^+$  such that

$$\begin{aligned} \gamma_k \cap (\mathbb{R}^2 \setminus \overline{B_{c_0 r_k}}) &= \emptyset \quad \forall k \geq k_0 \\ \Rightarrow \gamma_k &\subset \overline{B_{c_0 r_k}} \setminus B_{r_k} \quad \forall k \geq k_0. \end{aligned} \quad (36)$$

Note that either (21) or (22) holds. Suppose (21) holds. Without loss of generality we may assume that  $0 \in \Omega_k$  for all  $k \geq k_0$ . Then  $B_{r_k} \subset \Omega_k$  for all  $k \geq k_0$ . Hence by (1) and (36),

$$\begin{aligned} L(\gamma_k) &= \int_0^{2\pi} (g_{ij} \dot{\gamma}_k^i \dot{\gamma}_k^j)^{\frac{1}{2}} d\phi \\ &\geq \sqrt{\lambda_1(c_0 r_k)} \int_0^{2\pi} \left( \left( \frac{dr}{d\phi} \right)^2 + r^2 \left( \frac{d\theta}{d\phi} \right)^2 \right)^{\frac{1}{2}} d\phi \\ &\geq 2\pi r_k \sqrt{\lambda_1(c_0 r_k)} \quad \forall k \geq k_0 \end{aligned} \quad (37)$$

and

$$A_{out}(\gamma_k) \leq \int_{\mathbb{R}^2 \setminus B_{r_k}} \sqrt{\det g_{ij}} dx \leq 2\pi \int_{r_k}^{\infty} \rho \lambda_2(\rho) d\rho \quad \forall k \geq k_0. \quad (38)$$

By (3), (37) and (38),

$$I(\gamma_k) \geq \frac{L(\gamma_k)}{A_{out}(\gamma_k)} \geq \frac{r_k \sqrt{\lambda_1(c_0 r_k)}}{\int_{r_k}^{\infty} \rho \lambda_2(\rho) d\rho} \geq b_1 \quad \forall k \geq k_0. \quad (39)$$

Letting  $k \rightarrow \infty$  in (39), we get (34). Since (34) contradicts (9) and the definition of  $b_0$ , (21) does not hold. Hence (22) holds. By (20) and (22) we may assume without loss of generality that  $0 \in \mathbb{R}^2 \setminus \overline{\Omega_k}$  for all  $k \geq k_0$ . Then  $B_{r_k} \subset \mathbb{R}^2 \setminus \overline{\Omega_k}$  for all  $k \geq k_0$ . Hence  $\Omega_k$  is contractible to a point in  $\overline{B_{c_0 r_k}} \setminus B_{r_k}$  for all  $k \geq k_0$ . By (1),

$$L(\gamma_k) = \int_0^{2\pi} (g_{ij} \dot{\gamma}_k^i \dot{\gamma}_k^j)^{\frac{1}{2}} d\phi \geq \sqrt{\lambda_1(c_0 r_k)} L_e(\gamma_k) \quad \forall k \geq k_0. \quad (40)$$

By the isoperimetric inequality,

$$4\pi|\Omega_k| \leq L_e(\gamma_k)^2. \quad (41)$$

Then by (40) and (41),

$$L(\gamma_k) \geq 2(\pi\lambda_1(c_0r_k)|\Omega_k|)^{\frac{1}{2}} \quad \forall k \geq k_0. \quad (42)$$

Now

$$A_{in}(\gamma_k) = \int_{\Omega_k} \sqrt{\det g_{ij}} \, dx \leq \lambda_2(r_k)|\Omega_k| \quad \forall k \geq k_0. \quad (43)$$

By (5), (42) and (43),

$$\begin{aligned} L(\gamma_k) &\geq 2\pi^{\frac{1}{2}} \left( \frac{\lambda_1(c_0r_k)}{\lambda_2(r_k)} \right)^{\frac{1}{2}} A_{in}(\gamma_k)^{\frac{1}{2}} \geq 2(\pi\delta)^{\frac{1}{2}} A_{in}(\gamma_k)^{\frac{1}{2}} \quad \forall k \geq k_0 \\ \Rightarrow I(\gamma_k) &\geq \frac{L(\gamma_k)}{A_{in}(\gamma_k)} \geq 2(\pi\delta)^{\frac{1}{2}} A_{in}(\gamma_k)^{-\frac{1}{2}} \quad \forall k \geq k_0. \end{aligned} \quad (44)$$

Since  $\Omega_k \subset \mathbb{R}^2 \setminus B_{r_k}$ ,

$$A_{in}(\gamma_k) \rightarrow 0 \quad \text{as } k \rightarrow \infty. \quad (45)$$

Letting  $k \rightarrow \infty$  in (44) by (45) we get  $I = \infty$ . This contradicts (9). Hence (22) does not hold and the lemma follows.  $\square$

By Lemma 4 there exists a constant  $a_1 > r_0$  such that

$$r_k \leq a_1 \quad \forall k \in \mathbb{Z}^+. \quad (46)$$

**Lemma 5.**  $\gamma_k \in \overline{B}_{a_1^2} \quad \forall k \in \mathbb{Z}^+.$

*Proof:* Let  $\rho_k = \max_{\gamma_k} |x|$ . Suppose the lemma does not hold. Then there exists a subsequence of  $\rho_k$  which we may assume without loss of generality to be the sequence itself such that

$$\rho_k > a_1^2 \quad \forall k \in \mathbb{Z}^+. \quad (47)$$

By (1), (4), (46), (47) and an argument similar to the proof of (24),

$$L(\gamma_k) \geq \int_{a_1}^{a_1^2} \sqrt{\lambda_1(\rho)} \, d\rho \geq b_2 \quad \forall k \in \mathbb{Z}^+. \quad (48)$$

Hence by (48),

$$\begin{aligned} I(\gamma_k) &= \frac{AL(\gamma_k)}{A_{in}(\gamma_k)A_{out}(\gamma_k)} \geq \frac{Ab_2}{(A/2)^2} = \frac{4b_2}{A} \quad \forall k \in \mathbb{Z}^+ \\ \Rightarrow I &\geq \frac{4b_2}{A} \quad \text{as } k \rightarrow \infty. \end{aligned}$$



This contradicts (9) and the definition of  $b_0$ . Hence the lemma follows.  $\square$

Let  $L_k = L(\gamma_k)$ . Since  $\overline{B}_{a_1^2}$  is compact, there exists constants  $c_2 > c_1 > 0$  such that

$$c_1 \delta_{ij} \leq g_{ij} \leq c_2 \delta_{ij} \quad \text{on } \overline{B}_{a_1^2}. \quad (49)$$

**Lemma 6.** *There exists a constant  $\delta_1 > 0$  such that  $L_k \geq \delta_1 \quad \forall k \in \mathbb{Z}^+$ .*

*Proof:* By (49),

$$\begin{cases} c_1^{\frac{1}{2}} L_e(\gamma_k) \leq L_k \leq c_2^{\frac{1}{2}} L_e(\gamma_k) & \forall k \in \mathbb{Z}^+ \\ c_1 |\Omega_k| \leq A_{in}(\gamma_k) \leq c_2 |\Omega_k| & \forall k \in \mathbb{Z}^+. \end{cases} \quad (50)$$

By (18), (41) and (50),

$$\begin{aligned} b_0 &> \frac{L_k}{A_{in}(\gamma_k)} \geq \frac{c_1^{\frac{1}{2}} L_e(\gamma_k)}{c_2 |\Omega_k|} \geq \frac{c_1^{\frac{1}{2}}}{c_2} \cdot \frac{L_e(\gamma_k)}{(L_e(\gamma_k)^2 / 4\pi)} \geq \frac{4\pi c_1^{\frac{1}{2}}}{c_2 L_e(\gamma_k)} \quad \forall k \in \mathbb{Z}^+ \\ \Rightarrow L_k &\geq c_1^{\frac{1}{2}} L_e(\gamma_k) \geq \frac{4\pi c_1}{c_2 b_0} \quad \forall k \in \mathbb{Z}^+ \end{aligned}$$

and the lemma follows.  $\square$

By the proof of Lemma 6 we have the following corollary.

**Corollary 7.** *For any constant  $C_1 > 0$  there exists a constant  $\delta_1 > 0$  such that*

$$L(\gamma) > \delta_1$$

*for any simple closed curve  $\gamma \subset \overline{B}_{a_1^2}$  satisfying*

$$I(\gamma) < C_1. \quad (51)$$

By (6) and Corollary 7 we have the following corollary.

**Corollary 8.** *For any constant  $C_1 > 0$  there exists a constant  $\delta_2 > 0$  such that*

$$A_{in}(\gamma) > \delta_2 \quad \text{and} \quad A_{out}(\gamma) > \delta_2$$

*for any simple closed curve  $\gamma \subset \overline{B}_{a_1^2}$  satisfying (51).*

**Lemma 9.** *There exists a constant  $C_2 > 0$  such that the following holds. Suppose  $\beta \subset \overline{B}_{a_1^2}$  is a closed simple curve. Then under the curve shrinking flow*

$$\frac{\partial \beta}{\partial \tau}(s, \tau) = k \vec{N} \quad (52)$$

*with  $\beta(s, 0) = \beta(s)$  where for each  $\tau \geq 0$ ,  $k(\cdot, \tau)$  is the curvature,  $\vec{N}$  is the unit inner normal, and  $s$  is the arc length of the curve  $\beta(\cdot, \tau)$  with respect to the metric  $g$ , there exists  $\tau_0 \geq 0$  such that the curve  $\beta^{\tau_0} = \beta(\cdot, \tau_0) \subset \overline{B}_{a_1^2}$  satisfies  $I(\beta^{\tau_0}) \leq I(\beta)$  and*

$$\int k(s, \tau_0)^2 ds \leq C_2.$$

*Proof.* Since the proof is similar to the proof of [DH] and the Lemma on P.197 of [H2], we will only sketch the proof here. Let  $\beta^\tau = \beta(\cdot, \tau)$  and write

$$L(\tau) = L_g(\beta(\cdot, \tau)), \quad I(\tau) = I(\beta^\tau) = I_g(\beta(\cdot, \tau)),$$

and the areas

$$A_{in}(\tau) = A_{in}(\beta(\cdot, \tau)), \quad A_{out}(\tau) = A_{out}(\beta(\cdot, \tau)),$$

with respect to the metric  $g$ . Let  $T_1 > 0$  be the maximal existence time of the solution of (52). Then

$$\beta^\tau \subset \overline{B}_{a_1^2} \quad \forall 0 \leq \tau < T_1. \quad (53)$$

Similar to the result on P.196 of [H2] we have

$$\frac{\partial A_{in}}{\partial \tau} = - \int k \, ds, \quad \frac{\partial A_{out}}{\partial \tau} = \int k \, ds, \quad \frac{\partial L}{\partial \tau} = - \int k^2 \, ds \quad (54)$$

and

$$\int k \, ds + \int_{\Omega(\tau)} K \, dV_g = 2\pi \quad (55)$$

by the Gauss-Bonnet theorem where  $K$  is the Gauss curvature with respect to  $g$  and  $\Omega(\tau) \subset \overline{B}_{a_1^2}$  is the region enclosed by the curve  $\beta(s, \tau)$ . Let  $C_1 = 2I(\beta)$ . By continuity there exists a constant  $0 < \delta_0 < T_1$  such that

$$I(\tau) < C_1 \quad \forall 0 \leq \tau \leq \delta_0. \quad (56)$$

By (56), Corollary 7, and Corollary 8 there exist constants  $\delta_1 > 0$ ,  $\delta_2 > 0$ , such that

$$L(\tau) > \delta_1, \quad A_{in}(\tau) > \delta_2, \quad A_{out}(\tau) > \delta_2 \quad \forall 0 \leq \tau \leq \delta_0. \quad (57)$$

Now

$$\frac{\partial}{\partial \tau}(\log I(\tau)) = \frac{1}{L} \frac{\partial L}{\partial \tau} - \frac{1}{A_{in}} \frac{\partial A_{in}}{\partial \tau} - \frac{1}{A_{out}} \frac{\partial A_{out}}{\partial \tau} + \frac{1}{A} \frac{\partial A}{\partial \tau}. \quad (58)$$

By (53) and (55)  $\int k \, ds$  is uniformly bounded for all  $0 \leq \tau < T_1$ . Then by (54), (55), (57), and (58), there exists a constant  $C_2 > 0$  independent of  $\delta_0$  such that

$$\frac{\partial}{\partial \tau}(\log I(\tau)) < 0$$

for any  $\tau \in (0, \delta_0]$  satisfying

$$\int k(s, \tau)^2 \, ds > C_2.$$

If

$$\int k(s, 0)^2 \, ds \leq C_2,$$

we set  $\tau_0 = 0$  and we are done. If

$$\int k(s, 0)^2 ds > C_2,$$

then either there exists  $\tau_0 \in (0, \delta_0]$  such that

$$\int k(s, \tau_0)^2 ds = C_2 \quad \text{and} \quad \int k(s, \tau)^2 ds > C_2 \quad \forall 0 \leq \tau < \tau_0 \quad (59)$$

or

$$\int k(s, \tau)^2 ds > C_2 \quad \forall 0 \leq \tau \leq \delta_0. \quad (60)$$

If (59) holds, since  $I(\tau_0) \leq I(0)$  we are done. If (60) holds, since  $I(\delta_0) \leq I(0)$  we can repeat the above the argument a finite number of times. Then either

(a) there exists  $\tau_0 \in (0, T_1)$  such that (59) holds

or

(b)

$$\int k(s, \tau)^2 ds > C_2 \quad \forall 0 \leq \tau < T_1 \quad (61)$$

holds.

If (b) holds, then similar to the proof of the Lemma on P.197 of [H2] by (57) we get a contradiction to the Grayson theorem ([H2],[Gr1],[Gr2]) for curve shortening flow. Hence (a) holds. Since  $I(\tau_0) \leq I(0)$ , the lemma follows.  $\square$

To complete the proof of Theorem 1 we also need the following technical lemma.

**Lemma 10.** *For any positive numbers  $\alpha_1, \alpha_2, A_1, A_2, A_3$  we have*

$$(\alpha_1 + \alpha_2) \left( \frac{1}{A_2} + \frac{1}{A_1 + A_3} \right) \geq \min \left\{ \alpha_1 \left( \frac{1}{A_1} + \frac{1}{A_2 + A_3} \right), \alpha_2 \left( \frac{1}{A_3} + \frac{1}{A_1 + A_2} \right) \right\}. \quad (62)$$

*Proof:* Suppose (62) does not hold. Then

$$\begin{aligned} (\alpha_1 + \alpha_2) \left( \frac{1}{A_2} + \frac{1}{A_1 + A_3} \right) &\leq \alpha_1 \left( \frac{1}{A_1} + \frac{1}{A_2 + A_3} \right) \\ \Rightarrow \frac{A_1(A_2 + A_3)}{A_2(A_1 + A_3)} &\leq \frac{\alpha_1}{\alpha_1 + \alpha_2} \end{aligned} \quad (63)$$

and

$$\begin{aligned} (\alpha_1 + \alpha_2) \left( \frac{1}{A_2} + \frac{1}{A_1 + A_3} \right) &\leq \alpha_2 \left( \frac{1}{A_3} + \frac{1}{A_1 + A_2} \right) \\ \Rightarrow \frac{A_3(A_1 + A_2)}{A_2(A_1 + A_3)} &\leq \frac{\alpha_2}{\alpha_1 + \alpha_2}. \end{aligned} \quad (64)$$

Summing (63) and (64),

$$\frac{2A_1A_3}{A_2(A_1 + A_3)} \leq 0 \quad \Rightarrow \quad A_1 = 0 \text{ or } A_3 = 0.$$

Contradiction arises. Hence (62) holds and the lemma follows.  $\square$

We are now ready for the proof of Theorem 1.

*Proof of Theorem 1:* Since the proof is similar to the proof of [H1] and [H2] we will only sketch the argument here. Let  $C_2 > 0$  be given by Lemma 9 and  $\delta_1 > 0$  be given by Corollary 7 with  $C_1 = b_0$ . By Lemma 5, Lemma 6, Corollary 7, Lemma 9 and an argument similar to the proof of [H2] for each  $j \in \mathbb{Z}^+$  there exists a closed simple curve  $\bar{\gamma}_j \subset \bar{B}_{a_1^2}$  satisfying

$$I(\bar{\gamma}_j) \leq I(\gamma_j) \quad \text{and} \quad L(\bar{\gamma}_j) \geq \delta_1 \quad \forall j \in \mathbb{Z}^+$$

and

$$\int_{\bar{\gamma}_j} k^2 ds \leq C_2 \tag{65}$$

where  $k$  is the curvature of  $\bar{\gamma}_j$ . By (65) and the same argument as that on P. 197-199 of [H2]  $\bar{\gamma}_j$  are locally uniformly bounded in  $L_2^1$  and  $C^{1+\frac{1}{2}}$ . Hence  $\bar{\gamma}_j$  has a sequence which we may assume without loss of generality to be the sequence itself that converges uniformly in  $L_p^1$  for any  $1 < p < 2$  and in  $C^{1+\alpha}$  for any  $0 < \alpha < 1/2$  as  $j \rightarrow \infty$  to some closed immersed curve  $\gamma \subset \bar{B}_{a_1^2}$ . Moreover  $\gamma$  satisfies

$$I = I(\gamma) \quad \text{and} \quad L(\gamma) \geq \delta_1.$$

Since  $\gamma$  is the limit of embedded curves,  $\gamma$  cannot cross itself and at worst it will be self tangent. Suppose  $\gamma$  is self tangent. Without loss of generality we may assume that  $\gamma$  is only self tangent at one point. Then  $\gamma = \beta_1 \cup \beta_2$  with  $\beta_1 \cap \beta_2$  being a single point where  $\beta_1, \beta_2$ , are simple closed curves. Then  $A_{in}(\gamma) = A_{in}(\beta_1) + A_{in}(\beta_2)$ ,  $A_{out}(\beta_1) = A_{out}(\gamma) + A_{in}(\beta_2)$ ,  $A_{out}(\beta_2) = A_{out}(\gamma) + A_{in}(\beta_1)$ , and  $L(\gamma) = L(\beta_1) + L(\beta_2)$ . Let  $L_1 = L(\beta_1)$  and  $L_2 = L(\beta_2)$ . By Lemma 10,

$$\begin{aligned} & (L_1 + L_2) \left( \frac{1}{A_{out}(\gamma)} + \frac{1}{A_{in}(\beta_1) + A_{in}(\beta_2)} \right) \\ & \geq \min \left\{ L_1 \left( \frac{1}{A_{in}(\beta_1)} + \frac{1}{A_{out}(\gamma) + A_{in}(\beta_2)} \right), L_2 \left( \frac{1}{A_{in}(\beta_2)} + \frac{1}{A_{out}(\gamma) + A_{in}(\beta_1)} \right) \right\}. \end{aligned}$$

Hence

$$\begin{aligned} & L(\gamma) \left( \frac{1}{A_{out}(\gamma)} + \frac{1}{A_{in}(\gamma)} \right) \\ & \geq \min \left\{ L_1 \left( \frac{1}{A_{in}(\beta_1)} + \frac{1}{A_{out}(\beta_1)} \right), L_2 \left( \frac{1}{A_{in}(\beta_2)} + \frac{1}{A_{out}(\beta_2)} \right) \right\} \\ \Rightarrow & I(\gamma) \geq \min(I(\beta_1), I(\beta_2)) \\ \Rightarrow & I(\gamma) = \min(I(\beta_1), I(\beta_2)). \end{aligned}$$

Without loss of generality we may assume that  $I(\gamma) = I(\beta_1)$ . Then  $\beta_1$  is a simple closed curve which attains the minimum. Similar to the proof of [H2], by a variation argument  $\beta_1$  has constant curvature

$$k = L \left( \frac{1}{A_{in}} - \frac{1}{A_{out}} \right).$$

Hence  $\beta_1$  is smooth and the theorem follows. □

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